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Attorney's Docket No.: 01296-005001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : James P. Koch

Art Unit : 3733

Serial No. : 09/400,817

Examiner : Unknown

Filed : September 22, 1999

Title : CUSTOM MOLDED CERVICAL CAP AND METHOD AND KIT FOR FORMING SAME

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449, copies of which are enclosed.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. A check for \$180 in payment of the late submission fee of §1.17(p) is enclosed. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: February 19, 2002

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

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